



Investment Funds: ANNUAL REVIEW (Secondary listed)

Name of issuer:

Date of admission to listing:

Name of Primary Exchange:

Closed/Open ended:

Financial Year End:

Confirmation of contact

Name for continuing obligation queries and email:

SUBMITTED BY(ISSUER/LISTING AGENT)

SIGNED BY.....

DATE:

Note: A full and complete copy of the annual accounts should be attached to this annual review checklist.

Annual Review:	Answer	Comments
Confirmation with Listing Rules of the Primary Exchange Confirm that, during the period under the review, the issuer was in compliance with the continuing obligations of the primary exchange.	YES/NO	
Equivalent information (LR9.118) Confirm that during the period under review, the issuer made available to the CSX any information and documentation that the issuer supplied to the primary exchange.	YES/NO	
General obligation of disclosure (LR2.39) Confirm that all notifications been filed with the CSX, holders of the shares and public and all respective announcements been made to the CSX of all information which: (i) is necessary to enable them and the public to appraise the financial position of the issuer and its group; (ii) is necessary to avoid the establishment of a false market in its securities; and (iii) might reasonably be expected materially to affect market activity in and the price of its securities.	YES/NO	
Annual listing fee (LR9.117) Confirm that the annual listing fee has been paid.	YES/NO	



CAYMAN ISLANDS
STOCK EXCHANGE

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ANNUAL REVIEW
(Primary listed)**
